Educational Series: Introduction to Federal Regulations Addressing Aircraft Noise

September 2022
## Federal Regulations

<table>
<thead>
<tr>
<th>Statute</th>
<th>Aircraft Noise Related Purpose</th>
<th>Most Relevant FAA Regulation(s)</th>
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</thead>
<tbody>
<tr>
<td>Aircraft Noise and Sonic Boom Act of 1968</td>
<td>Authorizes FAA to prescribe standards for measurement of aircraft noise and establish regulations to abate noise</td>
<td>14 CFR parts 36 and 91</td>
</tr>
<tr>
<td>National Environmental Policy Act of 1969 (NEPA)</td>
<td>Directs all federal executive agencies to assess all environmental effects of proposed federal agency actions</td>
<td>FAA Orders 1050.1F, 5050.4B</td>
</tr>
<tr>
<td>The Noise Control Act of 1972 (Noise Act)</td>
<td>Amends 1968 act to add consideration of public health and welfare and to add EPA to the rulemaking process for aircraft noise and sonic boom standards</td>
<td>None directly; EPA responsibility</td>
</tr>
<tr>
<td>Aviation Safety and Noise Abatement Act of 1979 (ASNA)</td>
<td>Directs FAA to establish single system to measure noise and determine exposure of people to noise, and identify land uses normally compatible with various noise levels</td>
<td>14 CFR part 150</td>
</tr>
<tr>
<td>Airport and Airway Improvement Act of 1982</td>
<td>Authorizes FAA funding for noise mitigation/compatibility planning and projects and establishes noise compatibility requirements for FAA-funded airport development</td>
<td>FAA Airport Improvement Program</td>
</tr>
<tr>
<td>Airport Noise and Capacity Act of 1990 (ANCA)</td>
<td>Mandates phase out of Stage 2 jet aircraft over 75,000 pounds, and established requirements regarding airport noise and access restrictions for Stage 2 and 3 aircraft</td>
<td>14 CFR part 161</td>
</tr>
<tr>
<td>Section 506 of the FAA Modernization and Reform Act of 2012</td>
<td>Prohibition after 12/31/2015 of operation of civil subsonic jet airplanes with maximum weights of 75,000 pounds or less that do not meet stage 3 noise standards</td>
<td>14 CFR part 91</td>
</tr>
<tr>
<td>FAA Reauthorization, 2018</td>
<td>Reauthorizes FAA through 2023, which included 14 noise provisions</td>
<td>None yet</td>
</tr>
</tbody>
</table>
Results of Aircraft Noise and Sonic Boom Act of 1968
14 CFR Part 36

- Noise standards vary by design criteria and are in terms of “stages”
- Aircraft must meet Part 36 standards to obtain new or revised "type" or "airworthiness" certificates to operate in the U.S.
- The standards address noise limitations depending on aircraft type and weight
- Certification for most aircraft is based on three measurements:
  - Landing
  - Sideline
  - Takeoff

Measurement locations can vary with aircraft stage, number of engines, and lift mechanism. Some types are certificated based on level flyover.
Aircraft Noise Certification Stages

Stage 1: No certification noise requirements

Stage 2: LBPR Turbo Fans (60's)

Stage 3 / ICAO Chapter 3:
- First Generation HBPR Turbo Fans (70's)
- Second Generation HBPR Turbo Fans (80's)

Stage 4 / Chapter 4

Quieter

Introduction and Effective Dates:
- Stage 5 adopted in 2017

Engine Technology:
- LBPR = Low by-pass ratio
- HBPR = High by-pass ratio
Results of the National Environmental Policy Act of 1969 (NEPA)
NEPA (1969)

• Governs federal actions with possible environmental impacts
  • President’s Council on Environmental Quality (CEQ) provides oversight
  • Defined procedures for all federal agencies to prepare environmental assessments (EAs) and environmental impact statements (EISs)
• FAA Order 1050.1F, “Policies and Procedures for Considering Environmental Impacts”
  • Applies to all FAA “lines of business”
• FAA Order 5050.4B, “Airport Environmental Handbook”
  • Airports Division guidelines
• Draft FAA Order 7490, “Air Traffic Environmental Order”
  • Air Traffic Division guidelines
• Compliance with NEPA is responsibility of FAA – not airports
  • Airports should participate as fully as feasible to monitor their interests
NEPA
Three Levels of Environmental Analyses

- Categorical Exclusion – CatEx
- Environmental Assessment – EA
- Environmental Impact Statement – EIS

CatEx List

The FAA has determined that the following actions do not individually or cumulatively have a significant effect on the human environment (FAA Order 1050.1F, Section 5-6)

- **Administrative/General** - Actions that are administrative or general in nature
- **Certification** - Actions concerning issuance of certificates or compliance with certification programs
- **Equipment and Instrumentation** - Actions involving installation, repair, or upgrade of equipment or instruments necessary for operations and safety
- **Facility Siting, Construction, and Maintenance** - Actions involving acquisition, repair, replacement, maintenance, or upgrading of grounds, infrastructure, buildings, structures, or facilities that generally are minor in nature
- **Procedural** - Actions involving establishment, modification, or application of airspace and air traffic procedures
- **Regulatory** - Actions involving establishment of, compliance with, or exemptions to, regulatory programs or requirements
Noise Thresholds for Aviation Projects (NEPA)

- Significant Impact
  - 1.5 dB increase within 65 DNL

- Less than significant impact
  - 3 dB increase between 60 and 65 DNL
  - 5 dB increase between 45 and 60 DNL

triggers additional analyses for air traffic actions

Federal Interagency Committee on Noise (“FICON”), 1992
- 1.5 dB increase in DNL within 65 dB DNL
- 3 dB increase in DNL between 60 and 65 dB DNL

Expanded East Coast Plan (“EECP”) EIS, 1992-3

FAA Order 7400.2M (Policies and Procedures for Air Traffic Environmental Actions)

Order 1050.1F “Desk Reference” provides detailed guidance

Table 5-5 – Color Coding Based on Change in DNL

<table>
<thead>
<tr>
<th>Baseline DNL</th>
<th>Change in Noise Level from Baseline to Alternative</th>
<th>Increase</th>
<th>Decrease</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt; 45 dB</td>
<td>No color</td>
<td>No color</td>
<td>No color</td>
</tr>
<tr>
<td>45-&lt;50 dB</td>
<td>+5 dB (yellow)</td>
<td>-5 dB (purple)</td>
<td></td>
</tr>
<tr>
<td>50-&lt;55 dB</td>
<td>+3 dB (orange)</td>
<td>-3 dB (blue)</td>
<td></td>
</tr>
<tr>
<td>55-&lt;60 dB</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>60-&lt;65 dB</td>
<td>+1.5 dB (red)</td>
<td>-1.5 dB (green)</td>
<td></td>
</tr>
<tr>
<td>&gt; 65 dB</td>
<td></td>
<td></td>
<td></td>
</tr>
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Results of the Aviation Safety and Noise Abatement Act of 1979 (ASNA)
Airport Noise Compatibility Planning (14 CFR Part 150)

The Aviation Safety and Noise Abatement Act of 1979 ("ASNA") required FAA to:

- Establish a single, uniform, repeatable system for considering aviation noise around airport communities.
- Establish a single system for determining noise exposure from aircraft, which takes into account noise intensity, duration of exposure, frequency of operations, and time of occurrence.
- Identify land uses which are normally compatible with various exposures of individuals to noise.

14 CFR Part 150 prescribes standards and systems for:

- Measuring noise
- Estimating cumulative noise exposure using computer modeling
- Describing noise exposure
- Coordinating with local land use agencies
- Documenting the analytical process
- Submitting the documentation to FAA
- FAA and public review processes
- FAA approval/disapproval process
Established roles and responsibilities for:

- **Federal government** – source emissions, air traffic control, funding, and safety oversight
- **State and local governments** – compatible land use planning and control
- **Aircraft operators** – noise-sensitive schedules, cockpit procedures, and fleet improvements
- **Air travelers and shippers** – bear the costs
- **Current and prospective residents** – seek to understand and act accordingly
- **Airport operators** – primary responsibility for planning and implementing all noise abatement and compatible land use measures
Results of the Airport Noise and Capacity Act of 1990 (ANCA)
### Airport Noise and Capacity Act of 1990, ANCA

<table>
<thead>
<tr>
<th>Act requirement</th>
<th>FAA Action</th>
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<tr>
<td>Required FAA to establish phase-out of Stage 2 aircraft over 75,000 pounds</td>
<td>FAA promulgated Part 91 amendment (1991)</td>
</tr>
<tr>
<td>Required FAA to establish regulations regarding analysis, notice, and approval of airport noise and access restrictions</td>
<td>FAA implemented through FAR Part 161 (1991)</td>
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14 CFR Part 161: Notice and Approval of Airport Noise and Access Restrictions

Establishes the federal program for reviewing noise and access restrictions on the use of Stage 2 and 3 aircraft (and beyond – though not written)

- Requires extensive benefit cost analyses
- Requires extensive notice process
- Requires different level of analysis for Stage 2 and 3 aircraft
- Requires separate analysis of effects on aircraft less than 75,000 pounds
- Encourages voluntary agreements
14 CFR Part 161 – in practice

• Stage 2 restrictions are moot as of January 1, 2016
• Restricting noisier Stage 3 aircraft types unlikely
• Many potential roadblocks
• Study of last resort
• Two restrictions since ANCA:
  • Naples Airport Stage 2 ban (via Part 161)
  • Van Nuys Airport Stage 2 phaseout (grandfathered)
Summary of Most Relevant Federal Legislation …and FAA Response (Regulations)

- Aircraft Noise and Sonic Boom Act of 1968
  - 14 CFR parts 36 and 91 - aircraft noise certification

- National Environmental Policy Act of 1969 (NEPA)
  - FAA Orders 1050 and 5050 – FAA implementation of NEPA
    (Note: 5050 to be incorporated into 1050 and discarded in the near future)

- Aviation Safety and Noise Abatement Act of 1979 (ASNA)
  - 14 CFR Part 150 – Airport Noise Compatibility Planning

- Airport and Airway Improvement Act of 1982
  - Airport Improvement Program (funding mechanism for Part 150)

- Airport Noise and Capacity Act of 1990 (ANCA)
  - 14 CFR Part 161 – Phase out of Stage 2 aircraft and Airport Noise and Access Restrictions
Questions/Discussion

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